# Sex Steroids Block the Initiation of Atherosclerosis

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### **Abstract**

Atherosclerosis is the main cause of death in men and women. This so-called "hardening of the arteries" results from advanced atherogenesis, the accumulation and death of subendothelial fat-laden macrophages (vascular plaque). The macrophages are attracted as the result of signals from injured vessels recruiting and activating cells to quell the injury by inflammation. Among the recruited cells are circulating monocytes that may be captured by the formation of neural cell adhesion molecule (nCAM) tethers between the monocytes and vascular endothelium; the tethers are dependent on electrostatic binding between distal segments of apposed nCAM molecules. The capture of monocytes is followed by their entry into the subendothelial area as macrophages, many of which will remain and become the fat-laden foam cells in vascular plaque. Neural cell adhesion molecules are subject to sialylation that blocks their electrostatic binding. We showed that estradiol-induced nCAM sialylases are present in vascular endothelial cells and tested whether sex steroid pretreatment of human vascular endothelium could inhibit the capture of monocytes. Using in vitro techniques, pretreatment of human arterial endothelial cells with estradiol, testosterone, dehydroepiandrosterone and dihydrotestosterone all induced sialylation of endothelial cells and, in a dose—response manner, reduced the capture of monocytes. Steroid hormones are protective against atherogenesis and its sequellae. Sex steroid depletion is associated with atherosclerosis. Based on this knowledge plus our results using sex steroid pretreatment of endothelial cells, we propose that the blockade of the initial step in atherogenesis by sex steroid-induced nCAM sialylation may be crucial to hormonal prevention of atherosclerosis.

### **Keywords**

sex hormones, atherogenesis, prevention, neural cell adhesion molecule, estradiol, cardioprotection, menopause

Cardiovascular disease (CVD) is the leading cause of death in both sexes. Among American women, 30% to 40% die of CVD or its complications. Prior to the onset of menopause, women have a lower incidence of CVD than men; symptomatic CVD (angina and infarction) appear about a decade earlier in men than women. <sup>1</sup> After menopause, at which time women become estrogen depleted, the CVD rates become similar to those of men. <sup>2</sup> Additionally, women with premature menopause or premature ovarian failure who are not treated with estrogen have a well-documented increased rate of CVD and CVD-related death. <sup>3-8</sup>

Since coronary events usually follow the cessation of ovarian function in women, it has long been supposed that sex steroids, particularly estrogen, are cardioprotective, and that approximately 10 years were needed to develop clinical disease. Observational studies support this conclusion, and we know menopausal women who are treated with hormone replacement therapy within the first several years of menopause onset showed improvement in cardiovascular markers of disease. Age-adjusted subanalysis and long-term follow-up of the estrogen-only arm of the Women's Health

Initiative (WHI) hormone treatment study and other prospective observational studies on women who received conjugated estrogens alone have confirmed that estrogen-treated postmenopausal women have a slower thickening of their internal carotid artery intimal—medial (CIMT), indicating slower vascular plaque formation<sup>17</sup>; a recent prospective randomized trial of estrogen administration to menopausal women has confirmed that estrogen slows the progression of CIMT.<sup>13</sup>

Today, we know that prescient studies from Thomas Clarkson's laboratory explain almost all aspects of the turbulent decades since the first announcement of the WHI's hormonal cardiovascular prevention trials. The WHI participants were between 50 and 79 years of age, averaging 63 years of age,

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Naftolin et al 1621

and  $\sim$  12 years postmenopausal. They received 5 or more years of treatment, and the older ones suffered cardiovascular harm, stopping the study. 18 Clarkson and colleagues performed elegant and convincing studies in monkeys, showing animals that received human-equivalent doses of estrogen after oophorectomy developed less arterial plaque than those that didn't, even in the setting of an atherogenic diet.<sup>14</sup> However, plaque once developed could not be diminished by estrogen treatment. These studies gave rise to the "timing hypothesis" which postulates that the beneficial effects of hormone replacement therapy, specifically exogenous estrogen, on the progression of atherosclerosis are limited to women who start therapy within several years of menopause, and that if the treatment is delayed until there is underlying disease, the cardioprotective effect is lost. 13-15,19,20 The lack of reversibility indicates that prevention of atherogenesis is crucial to prevention of atherosclerosis. In this paper, we propose that such prevention may be provided by sex-steroid blockade of the capture of monocytes by injured blood vessels.

Myriad studies have shown that CVD during the menopausal years is also related to the classic cardiovascular risk factors: elevated lipids, hypertension, lifestyle, diet, metabolic syndrome, and smoking that parallel aging and menopause. Because of space constraints, the specific effects of neither these factors nor their interrelationship with estrogen will be examined in this article. 1,4,21-23 However, these risk factors are neither limited to the tight control of sex steroids nor acutely contributing to the accumulation of atherogenic plaque.

While estrogen plays a supporting role in regulating lipids and so on, it is possible that a more direct relationship between estrogen and atherogenesis exists. Specific mechanisms by which estrogen prevents CVD have become increasingly known. Working with the Clarkson group, we demonstrated that human and monkey artery endothelium expresses the enzyme estrogen synthetase (aromatase) and has estrogen receptor immunoreactivity. Following the precept that direct vascular action by estrogen could play a role in cardioprotection, we have turned to studying the effect of estrogen and other sex steroids on the initial inflammatory-vascular events that lead to atherogenesis.

The majority of all deaths from CVD are secondary to infarctions/thromboses caused by dislodged atherosclerotic vascular plaque or directly by plaque occlusion of vessels. The accumulation of plaque is a disease of the arterial wall that starts with vascular/perivascular inflammation and is characterized by the formation of lipid-laden plaques beneath the endothelium. This is triggered by subendothelial lowdensity lipoprotein accumulation which results in endothelial cell activation and chronic inflammation. Hypertensive pulses may also traumatize blood vessels. Cytokine signals (interleukin 1, tumor necrosis factor  $\alpha$ ) from the injured vascular endothelium recruit circulating monocytes. The activated monocytes adhere to the endothelial cells and penetrate into the intimal layer of the vessel where they transform to macrophages and eventually become foam cells that form plaque. 1,25-30

# The Role of Sex Steroids in Blocking Monocyte Tethering Capture

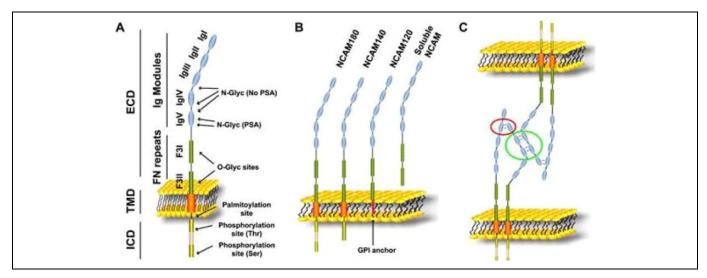
Cell adhesion is a general phenomenon that is critical to the activities of all cells. The molecules P-selectin, vascular cell adhesion molecule 1, intercellular adhesion molecule 1, neural cell adhesion molecule (nCAM), and P-selectin glycoprotein ligand 1 have proven to be important in the monocyte recruitment and plaque formation in particular. 24-26,30-33 Knowing the importance of monocyte recruitment, we questioned whether atherosclerotic lesions are the result of an overzealous response by "first responders" such as monocytes that could be modulated by estrogen. We already had described ways that estrogen could sabotage the avid responses by estrogen-sensitive immunocytes in the brain.<sup>34</sup> However, an even more immediate protective effect could be obtained by avoiding the capture of immunocytes by endothelial cells. Therefore, we specifically investigated the ability of nCAM molecules to achieve the electrostatic bonds necessary to capture monocytes in the face of administered estrogen and other sex steroids.

The basis of cell-to-cell adhesion is the physical attachment of complementary zones of oppositely axial adhesion molecules termed "zippering." For example, nCAM domains protruding from the membranes of cells that are in proximity become zippered and form a tether for continued intercellular interactions.<sup>33</sup> The nCAM-nCAM binding can be prevented by sialylation of nCAM.<sup>25,33,35,36</sup> Sialic acid is a hydrophilic extracellular sugar that expands the volume of cellular glycocalyx and diminishes cell-to-cell interactions.<sup>37</sup> Forming polysialylated nCAM (PSA-nCAM) prevents the usual electrostatic bonding between nCAMs and the formation of "tethers" between cells (see Figure 1).<sup>33,36</sup>

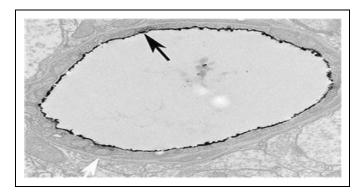
Monocyte capture from the circulation required nCAM-nCAM tethers that are dependent on the electrostatic forces and proximity. And it was known that nCAM-nCAM binding can be prevented by sialylation of nCAM. Es, 33, 36 We demonstrated that the vascular endothelium expresses PSA-nCAM and demonstrated the presence of the sialylation enzymes in vascular endothelium. Showed that these sialylation enzymes are induced by estradiol, as demonstrated in Figures 2 to 4. These factors are all consistent with our previous finding that human and monkey vascular endothelium from both males and females could produce estrogen and expressed estrogen receptors. Established.

Since we had shown that the necessary polysialylases exist in vessels and that they are regulated by estrogen, we next sought to abort nCAM-nCAM tethering and monocyte capture by inducing nCAM polysialylation with estradiol. Our hypothesis was that sex steroids can, by inducing PSA-nCAM, abort the electrostatic bonding that is the basis of the nCAM-nCAM tether. This could allow monocytes to successfully pass areas of inflammation without capture.

The experiments were accomplished by culturing a lawn of human arterial endothelial cells, pretreating with estradiol and other steroids, pouring monocytes (phorbol ester-treated THP1 cells) onto the lawn, washing after 90 minutes and then



**Figure 1.** A, Schema illustrating the domains (left) and the posttranslational modifications (right) of nCAM protein. B, Molecular structure of the 3 nCAM isoforms. C, The current model for nCAM interactions includes nCAM *cis*-dimers interacting in 2 manners, 1 of which results in the binding between cells; the "flat zipper" interaction (green circle). ECD indicates extracellular domain; F3I/II, fibronectin type 3 homology domain I/II; ICD, intracellular domain: IgI-V, Ig-like domain I-V; TMD, transmembrane domain; nCAM, neural cell adhesion molecule. Modified from Gascon, Vutskits, and Kiss<sup>33</sup> (The color version of this figure is available in the online version at http://rs.sagepub.com/.)

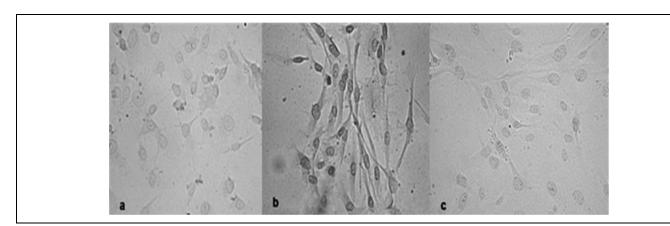


**Figure 2.** A cross-sectional view of a small arteriole in the rat brain. The black "rind" lining the vessel lumen is (ir-PSA-nCAM. Note its thickness and the full coverage of the lumen (black arrow) and the lack of staining in the abluminal compartment (white arrow). Electron microscope ×5000. <sup>18</sup> ir-PSA-nCAM indicates immunoreactive polysialylated nCAM; nCAM, neural cell adhesion molecule.

counting adherent monocytes.<sup>38</sup> Selected results are shown in Figures 5 and 6. In all cases, the induction of PSA-nCAM was documented by histochemistry.<sup>38</sup> Further studies testing monocyte pretreatment and shearing assays are continuing.

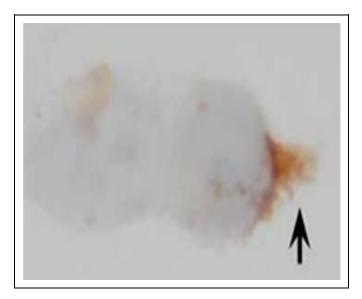
# **Conclusions**

In addition to traditional effects on risk factors for atherogenesis, there is a case for direct effects of estrogen and other sex steroids on the process of atherogenesis. We have shown repeatable, dose-related induction of sialylases and PSA-nCAM in human vascular (arterial and umbilical) endothelial cells. Preincubation of human arterial endothelial cells with estrogen and other sex steroids diminished the number of captured monocytes on the endothelium. Pairing this finding with the observational studies supporting the timing hypothesis and clinical trials of estrogen's effect on CIMT

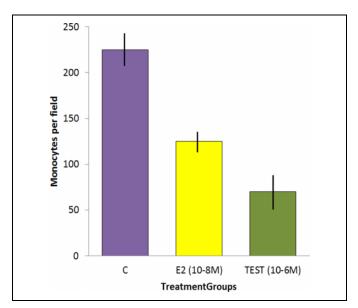


**Figure 3.** Immunostaining for PSA-nCAM of HUVECs grown in (A) no estrogen media, (B) estradiol (E2)  $10^{-8}$  M, and (C) E2 + fulvestrant. The expression of ir-PSA-nCAM, dark cell staining, induced by estradiol is blocked by the antiestrogen. The E2 effect was dose related.<sup>35</sup> HUVECs indicates human umbilical vein endothelial cells; ir-PSA-nCAM, immunoreactive polysialylated nCAM; nCAM, neural cell adhesion molecule.

Naftolin et al 1623

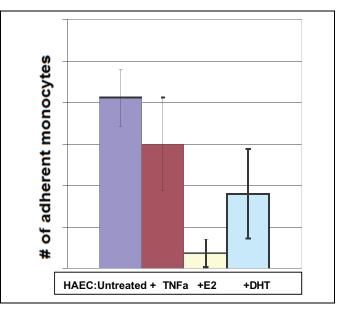


**Figure 4.** A HUVEC cultured in estrogen-containing medium and stained for ir-PSA-nCAM expression. The black arrow shows the extent of the extracellular domain of the molecule. LM  $\times$ 100. Modified from Park et al <sup>35</sup> HUVEC indicates human umbilical vein endothelial cells; ir-PSA-nCAM, immunoreactive polysialylated nCAM; nCAM, neural cell adhesion molecule.

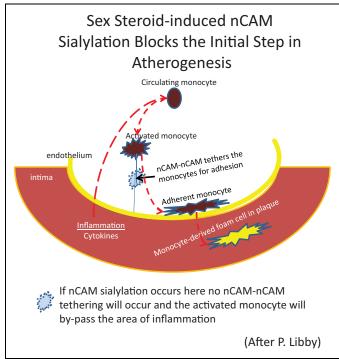


**Figure 5.** From Curatola et al,  $^{38}$  showing the result of incubating monocytes on a lawn of (female) human arterial endothelial cells (HAECs) and washing away the nonadherent cells after 90 minutes. The antiestrogen SERM fulvestrant blocked the  $E_2$  effect but not the testosterone effect. All incubations were performed in triplicate and repeated at least twice. Vertical units: number of monocytes per standard field.

progression, estrogen's ability to prevent monocyte adhesion via induction of PSA-nCAM offers a convincing molecular and physiologic explanation for a clinical phenomenon that has been observed for decades. While more study is required,



**Figure 6.** The application of estradiol, testosterone, and dihydrotestosterone all showed dose–response-related effects. Interestingly, pretreatment with the inflammatory cytokine TNFa did not, in itself, change the number of captured monocytes. TNFa indicates tumor necrosis factor  $\alpha$ .



**Figure 7.** Sex steroid-induced nCAM sialylation blocks the initial step in atherogenesis. nCAM indicates neural cell adhesion molecule.

it appears that these effects of sex steroids may break the very nexus of atherogenesis, as portrayed in Figure 7, thereby acting as a cardioprotective agent before the development of atherosclerotic disease.

## **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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Naftolin et al

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